

## Lecture 2 Lithography I

### 1. Lithography:

- Environment requirement:

Clean room environment: Class 1-10,000, ( $0.5 \mu\text{m}$  particles/cubic foot), defects 10% each layer yield  $\Rightarrow$  50% functional devices after 7 mask process.

- Process:

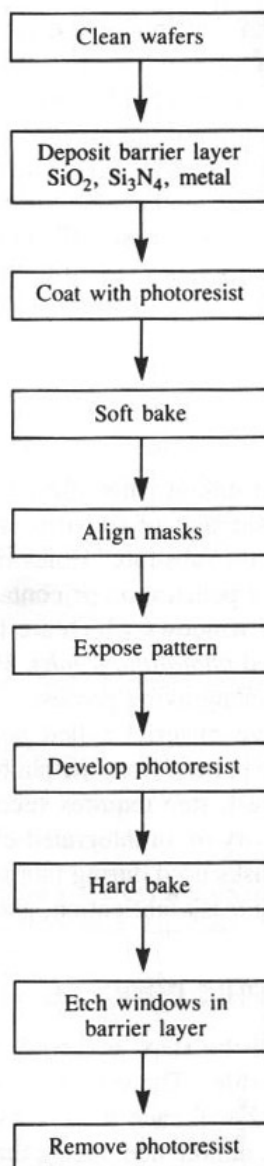


Fig. 2.1 Steps of the photolithographic process.

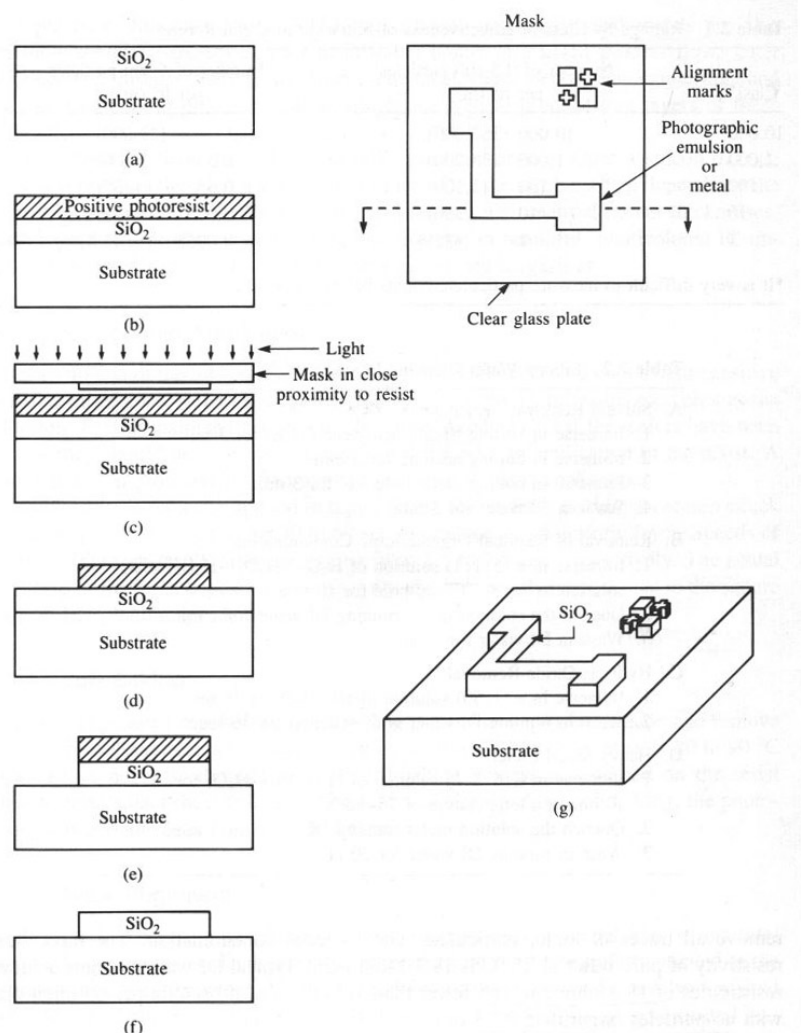


Fig. 2.2 Drawings of wafer through the various steps of the photolithographic process. (a) Substrate covered with silicon dioxide barrier layer; (b) positive photoresist applied to the surface of the wafer; (c) mask in close proximity to the surface of the resist-covered wafer; (d) substrate following resist exposure and development; (e) substrate following etching of the silicon dioxide layer; (f) oxide barrier on wafer surface after resist removal; (g) view of substrate with silicon dioxide pattern on the surface.

- **Wafer cleaning:**

Standard wafer cleaning + Piranha cleaning (no metal on wafers)

- **Wafer Priming**

1. Purpose: A pre-resist coating to promote the adhesion of the resist to the substrate and reduce the amount of lateral etching or undercutting.
2. Nature: intermolecular bonding between the resist and the surface onto which it is applied.
3. external factors which effect resist adhesion to wafer surface:

- a. Surface Moisture

Hydrated surface reduces resist adhesion. Traped wafter molecule between prometer and resist allow etchant to cut through. Pre-bake can reduce this problem.

- b. Resist wetting properties

Positive contact angle is required for increasing adhesion. Primed and baked surface can help wetting.

- c. Primer type, concentration, and method of application

Usually silanes like HMDS and TCPS diluted in xylene.

- d. Imaging process delay

The chemical and physical forces that impact resist adhesion vary as a function of time.

- e. Resist chemistry

Depends on the resins, solvents and their physical and chemical reactions with wafer surfaces.

- f. Surface smoothness

Smoother surface has less mechanical adhesion. Micro etching sometimes is desired to roughen wafer surface.

- g. Stress forces

Introduced during spin coating, and soft-bake can release some.

- h. Surface defects and contamination

Causing step coverage issues.

- i. Imaging factors affecting resist adhesion and etch resistance

Increase soft and hard bake can promote adhesion of resist.

4. Primer type:

- Hexamethyldisilazane (HMDS, US patent 1970, most commonly used, can be diluted with xylene)
- Trichlorophenylsilane (TCPS)
- Bistrimethylsilylacetimide (BSA)
- Monazoline C
- Trichlorobenzene
- Xylene (二甲苯)

#### HMDS:

- No chlorine which is corrosive. Less toxic, good bonding effectiveness, no need for hard bake, left for several weeks, pinhole reduction.
- HMDS ties up the molecular water on a hydroxylated silicon dioxide surface and with other side bonded with resist.
- CH<sub>3</sub> group left outside which keep hydrophobic resisting wet etching.

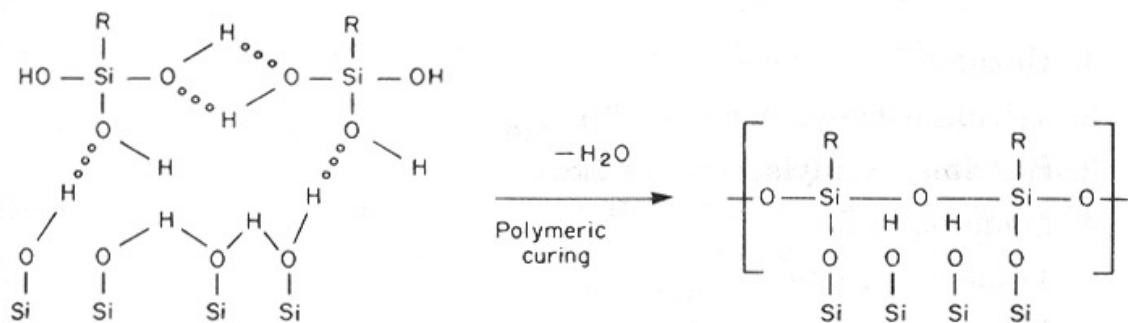


Figure 5.16 HMDS bonding mechanism with silicon dioxide.<sup>3</sup>

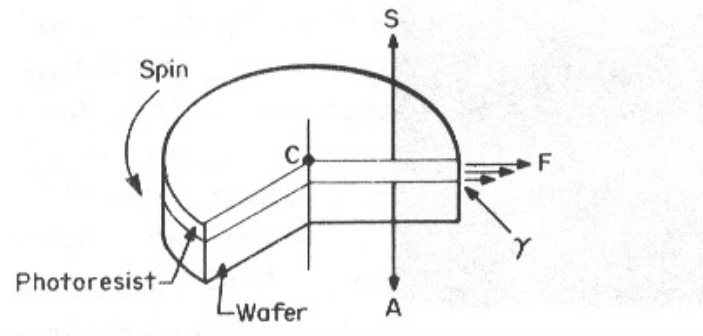
#### 5. Primer application methods

- Puddle dispense on dip priming  
Build up thick PR, batch process, recommended for surface hard to bond resist (e.g., quartz, high doped glass)
- Spin priming  
Popular for spinner, 30 second spinning at 3000-6000 rpm.
- Vapor priming  
10 min in vapor, excellent for Al<sub>2</sub>O<sub>3</sub>, polysilicon, quartz, silicon nitride, and silicides. Very large number can be treated at the same time, priming uniformity is good.

- **Photoresist Coating**

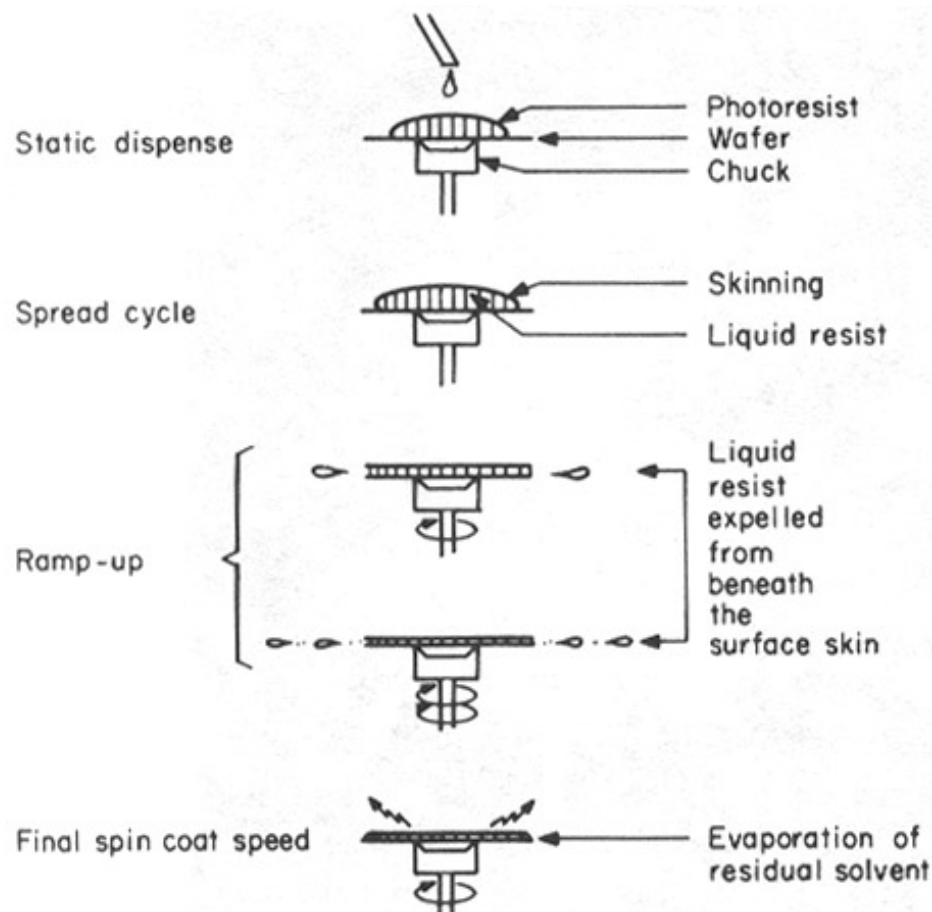
1. Spin coating

Standard for IC process. Centrifugal force  $F$ , adhesion force  $A$ , solvent driven out force  $S$ , Surface tension force  $\gamma$ .



**Figure 6.1** Dynamics of spin coating.<sup>1</sup>

PR coating procedure:



**Figure 6.12** Physical factors in spin coating. (Courtesy of R. Martin, Shipley Company.)

a. coating fluid dynamic

Static dispense: equilibrium=>(0.01sec) wave

stage=>(few rotations) corona stage=>(30 rotations)

corona stage, crown like=>spiral stage (resist is scrubbed out of wafer to form drops)

Note: dust or wafer defect will form streak during spinning

Coating thickness:

$$T = \frac{KP^2}{\sqrt{W}}$$

T: thickness, K: spin coater constant, P: percentage of solids in the photoresist (relative to viscosity), W: spin coater rpm/1000.

b. Edge bead

Edge bead stabilized in 9 sec.

Can be minimized by negative wafer taper (1/8 in from the wafer edge)

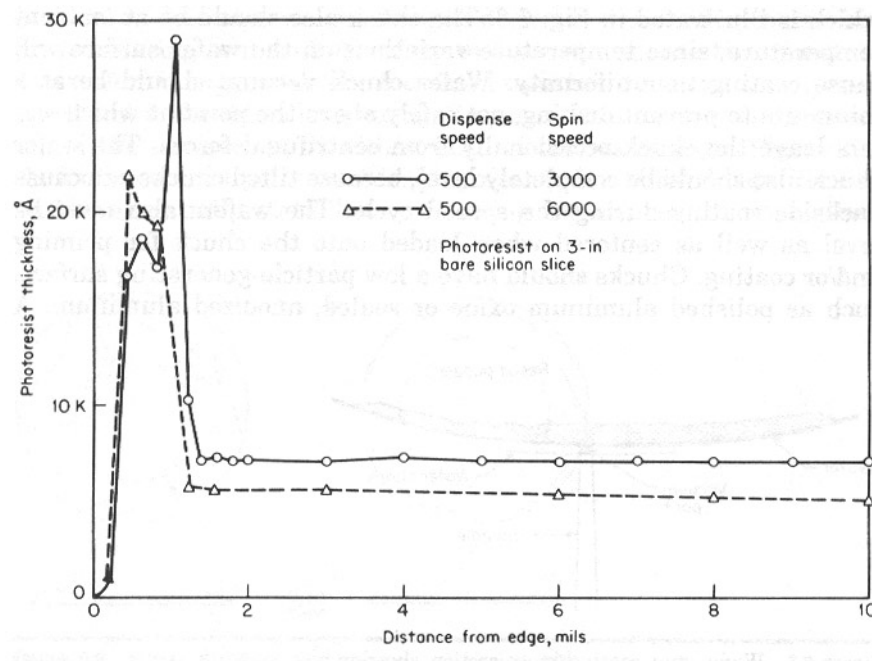


Figure 6.7 Resist edge bead profile.<sup>8</sup>

c. Wafer chuck

Should be similar to wafer size to prevent dishing effect.

d. Coating speed

Usually from 3000-6000 rpm for thin resist (less than 2  $\mu\text{m}$ )

e. Dispense and spread cycle

Dispense volume:  $\sim\text{ml/in}$  wafer diameter (for example: 4 ml for 4 in wafer)

Static dispensing before spinning commences gives better uniformity than dynamic dispensing.

f. Spin and ramp velocity

High spin ramping provides better coating uniformity, usually 8000-20000 rpm/sec

g. Spin time, bowl exhaust, and striations

At least 15-20 sec, usually 30 sec.

Spin time versus film thickness

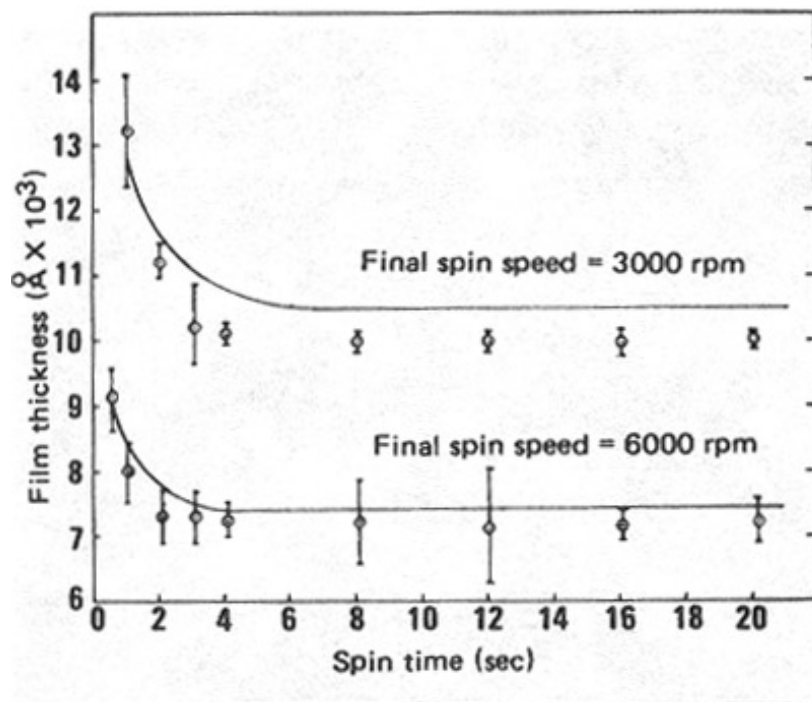


Figure 6.10 Spin speed time versus film thickness.

Striation: happens when film dry out too fast

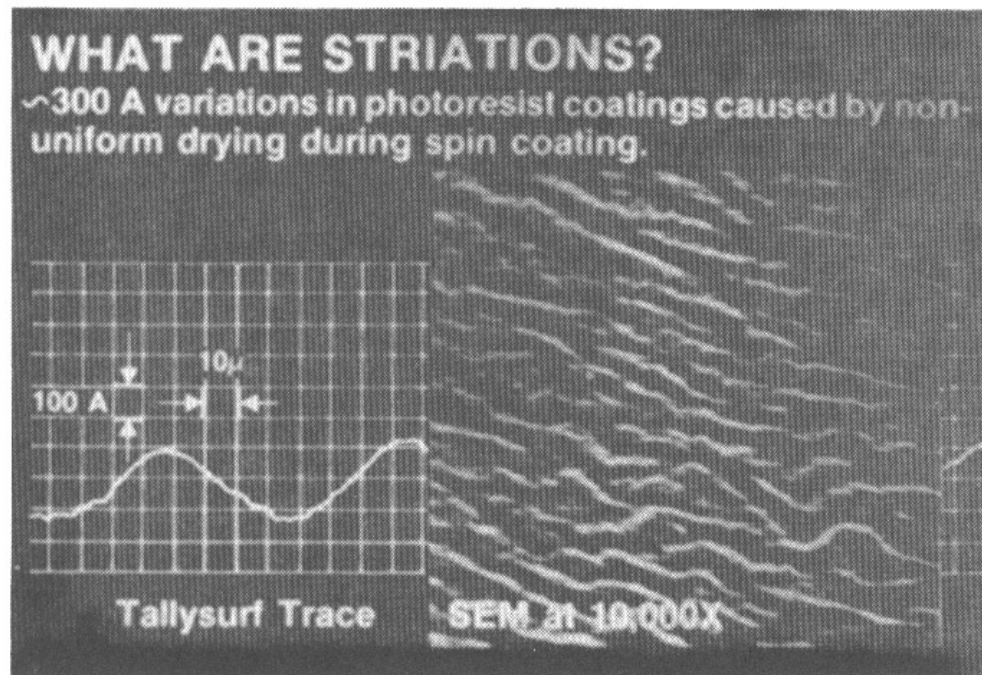


Figure 6.11 Radial striations.<sup>3</sup>

h. Measurement:

Stylus: destructive method

Optical measurement: ellipsometer, infrared laser scanning systems, optical microscope, Spectrophotometer.

SEM measurement

2. Spray coating

Class 100 clean environment, dilution of the resist (4-20 cP) for 2-5 μm coating, traveling speed~5-20 in/min.

● **Soft bake:**

1. To improve adhesion and remove solvent
2. 1 min on 90-120°C hot plate or 10-30 min in an oven at 80-90°C in air or nitrogen environment for thin resist (less than 2 μm)
3. Affect the following parameters: adhesion, exposure, development, geometry control, and solvent content.

### Developing rate vs. softbake temp

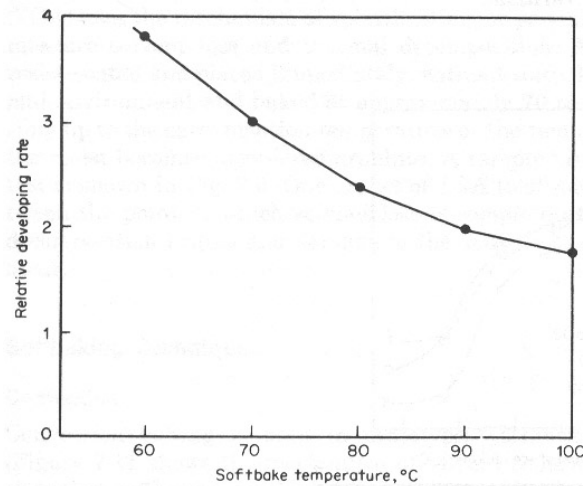


Figure 7.2 Development rate versus softbake temperature.

### Weight loss vs. softbake temp

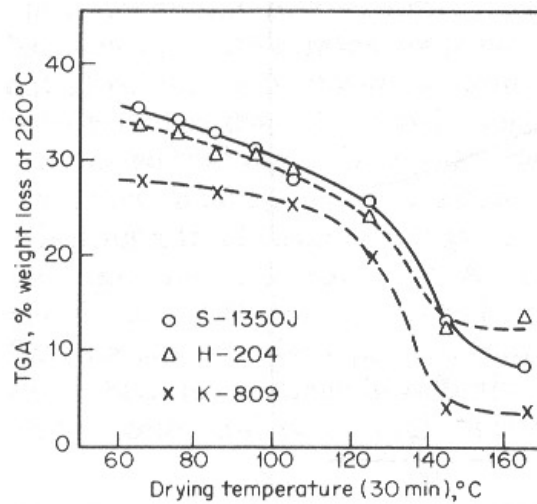


Figure 7.3 Solvent content versus softbake temperature.<sup>2</sup>

### Resist thickness vs. soft bake temp

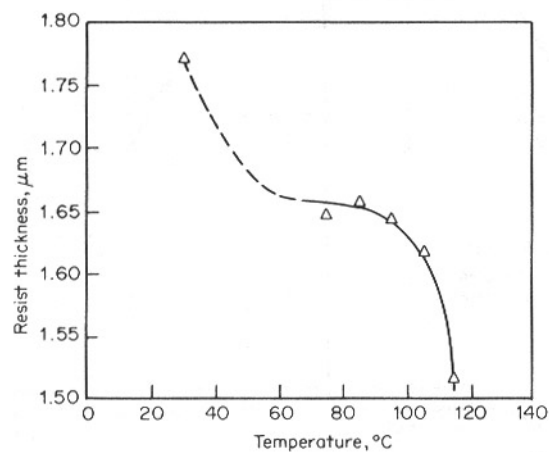
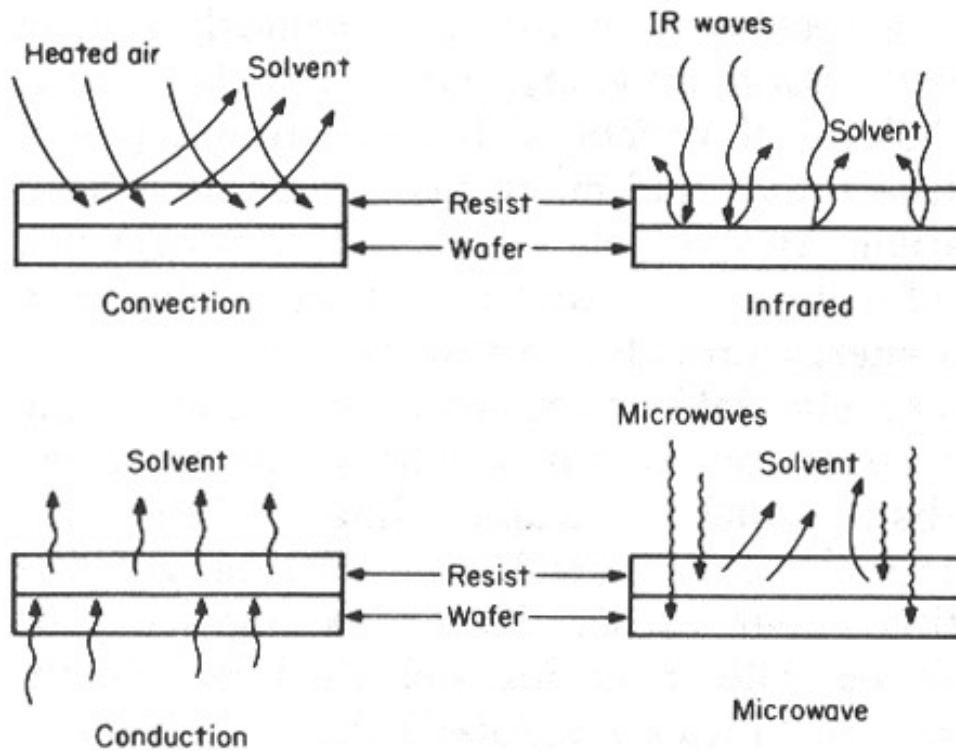


Figure 7.4 Resist thickness versus softbake temperature.<sup>2</sup>



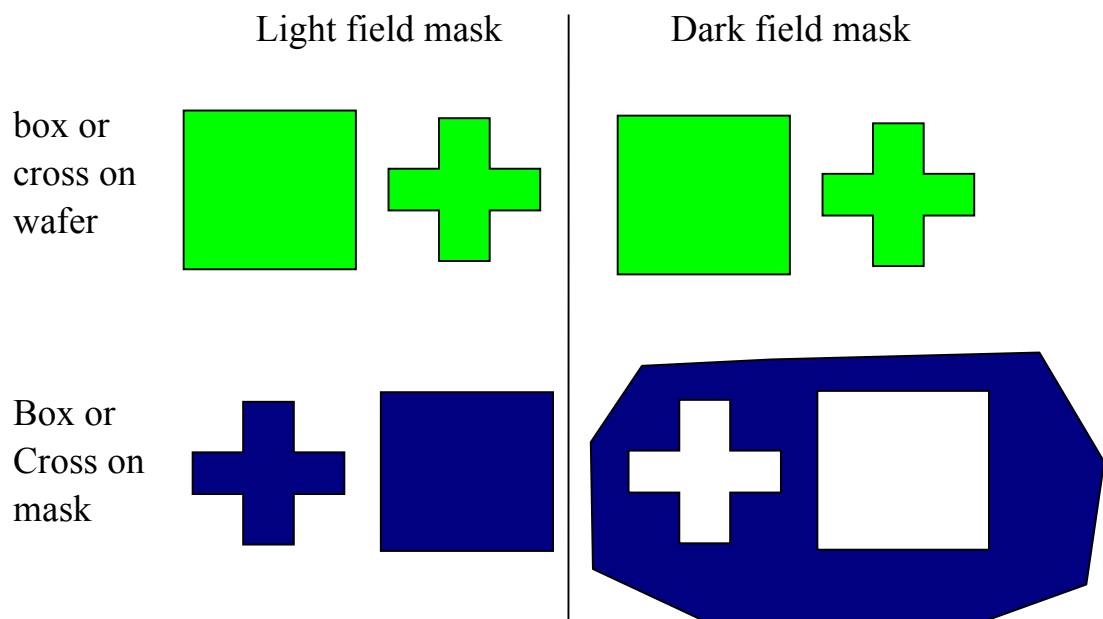
## Soft baking mechanisms



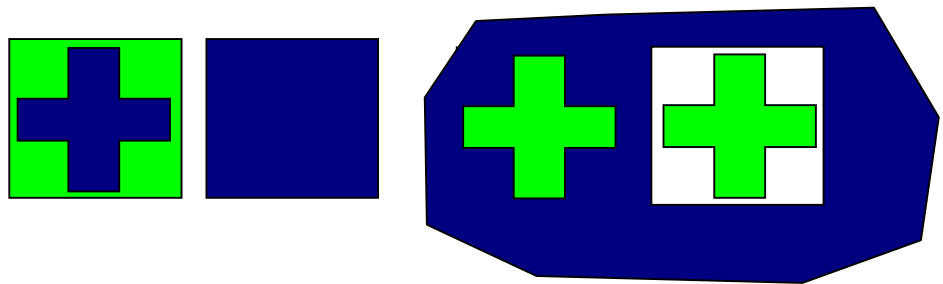
**Figure 7.11** Convection, infrared, microwave, and conduction solvent removal mechanisms.

### ● Mask alignment:

1. Alignment tolerance depends on feature size (typically  $0.25\text{-}2\ \mu\text{m}$  for  $1.25\text{-}5\ \mu\text{m}$  feature)
2. Alignment marks:



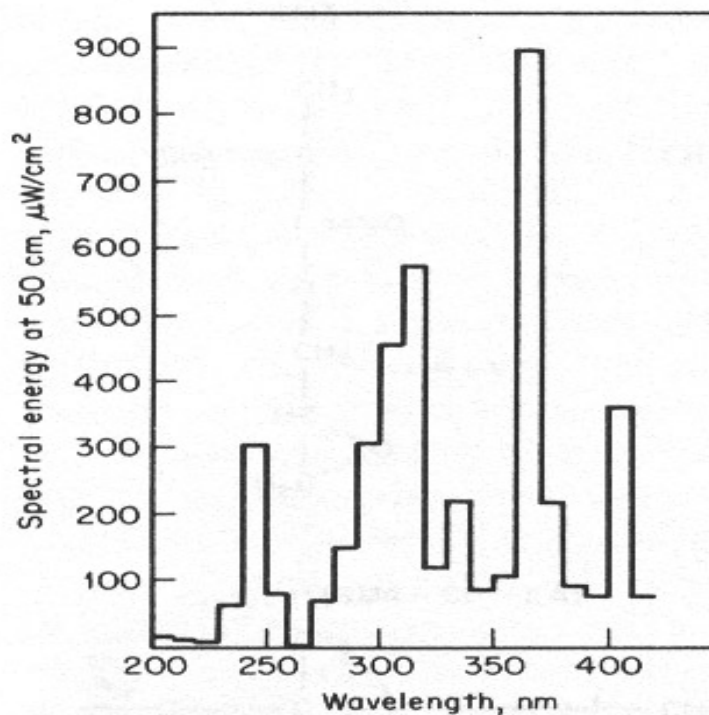
Composite  
pattern  
After  
alignment



- **Photoresist Exposure**

1. UV, x-ray, e-beam, ion beam resist
2. High intensity UV light.(typically 350-450 nm, we use 365 and 405 nm in the lab.)

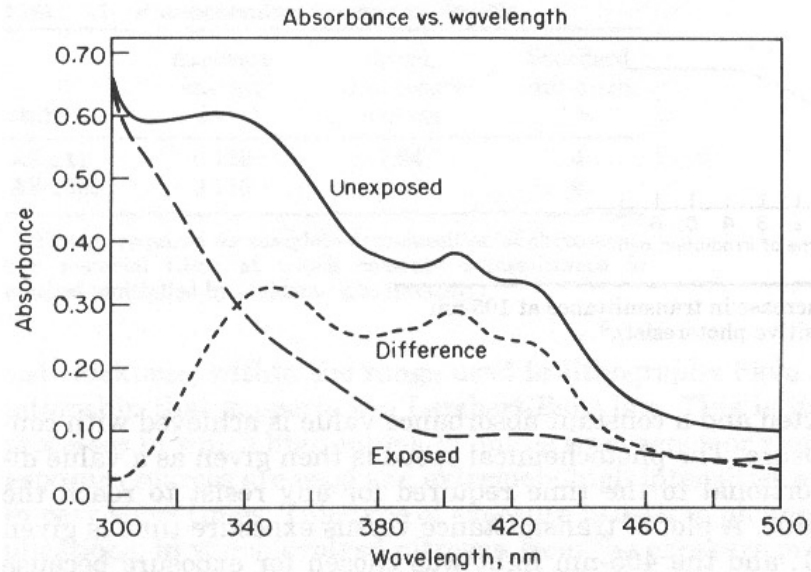
Mercury arc lamp spectrum



**Figure 8.8** Mercury arc lamp spectrum. (*Courtesy of Canon.*)

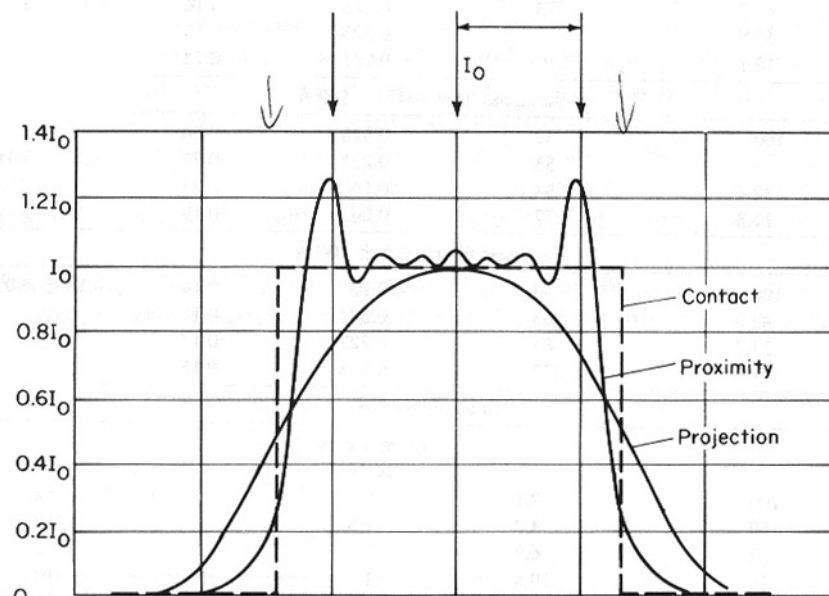
g-line: 436 nm, h-line: 405 nm, i-line: 365 nm, mid-uv: 313 nm,  
deep-uv: 248 nm

2. Spectrum absorbance profiles for Resist



**Figure 8.9** Spectral absorbance profiles for Shipley S-1400 positive photoresist.

### 3. Diffraction problem



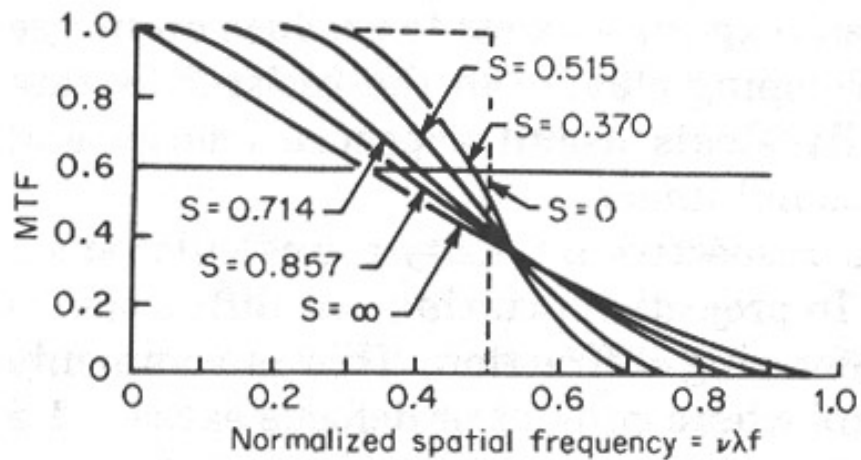
**Figure 8.13** Optical diffraction patterns by various exposure methods.

### 4. Modulation transfer function and Contrast

Degree of contrast depends on optical system, including energy coherence, wave length, and f number of the lens.

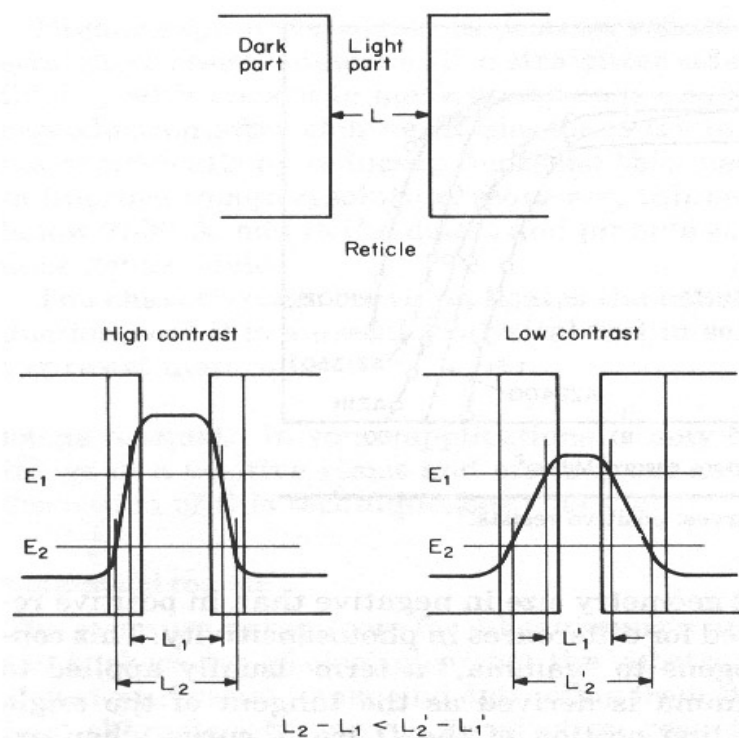
Usually MTF need to be 0.4-0.6 to get good contrast.

MTF vs. non-coherent light  
S: coherent value (vary from 0- $\infty$ )



**Figure 8.14** MTF of an ideal imaging system as a function of illumination coherence.

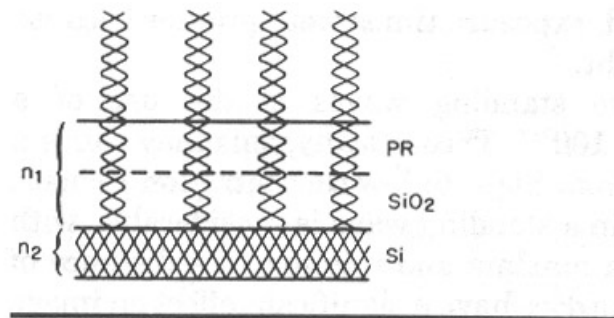
## 5. Contrast model



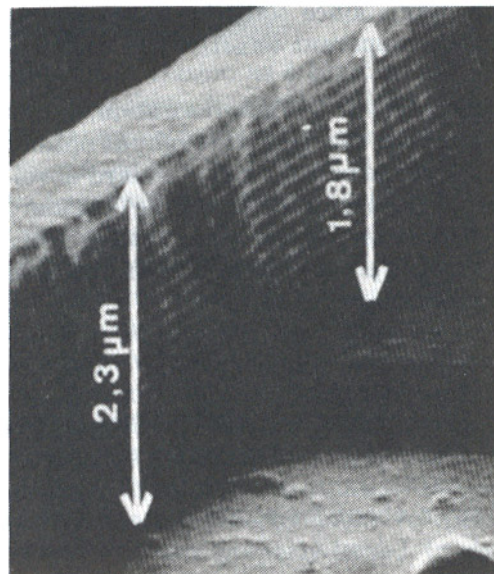
**Figure 8.15** Contrast model.

## 6. Standing wave and reflections

Standing wave:



**Figure 8.22** Standing-wave principle in photoresist.<sup>8</sup>

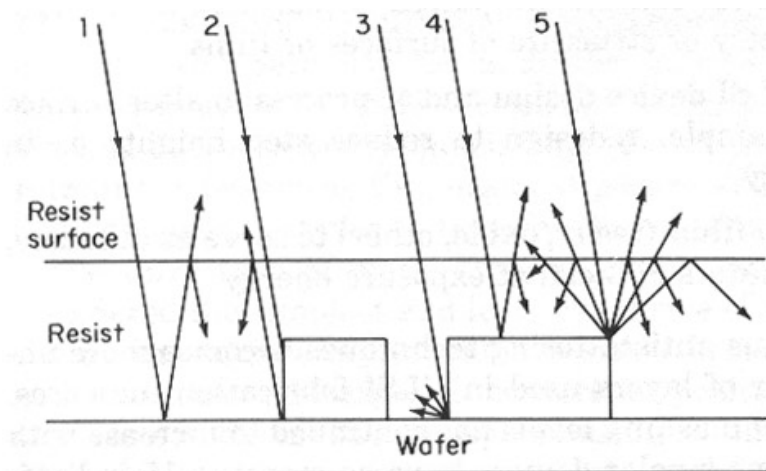


**Figure 8.21** Micrograph of standing-wave patterns in photoresist. (Courtesy of Paul Tigreat.)

To eliminate standing wave:

- varying optical thickness
- reducing the reflectivity (antireflection coating)
- using post exposure bake at  $100^\circ\text{C}$
- put dye in PR (eliminate long-range, geometry-dependent reflections)
- short exposure time and concentrated developer

reflections:



**Figure 8.23** Surface reflections and light-scattering patterns encountered in microlithography.

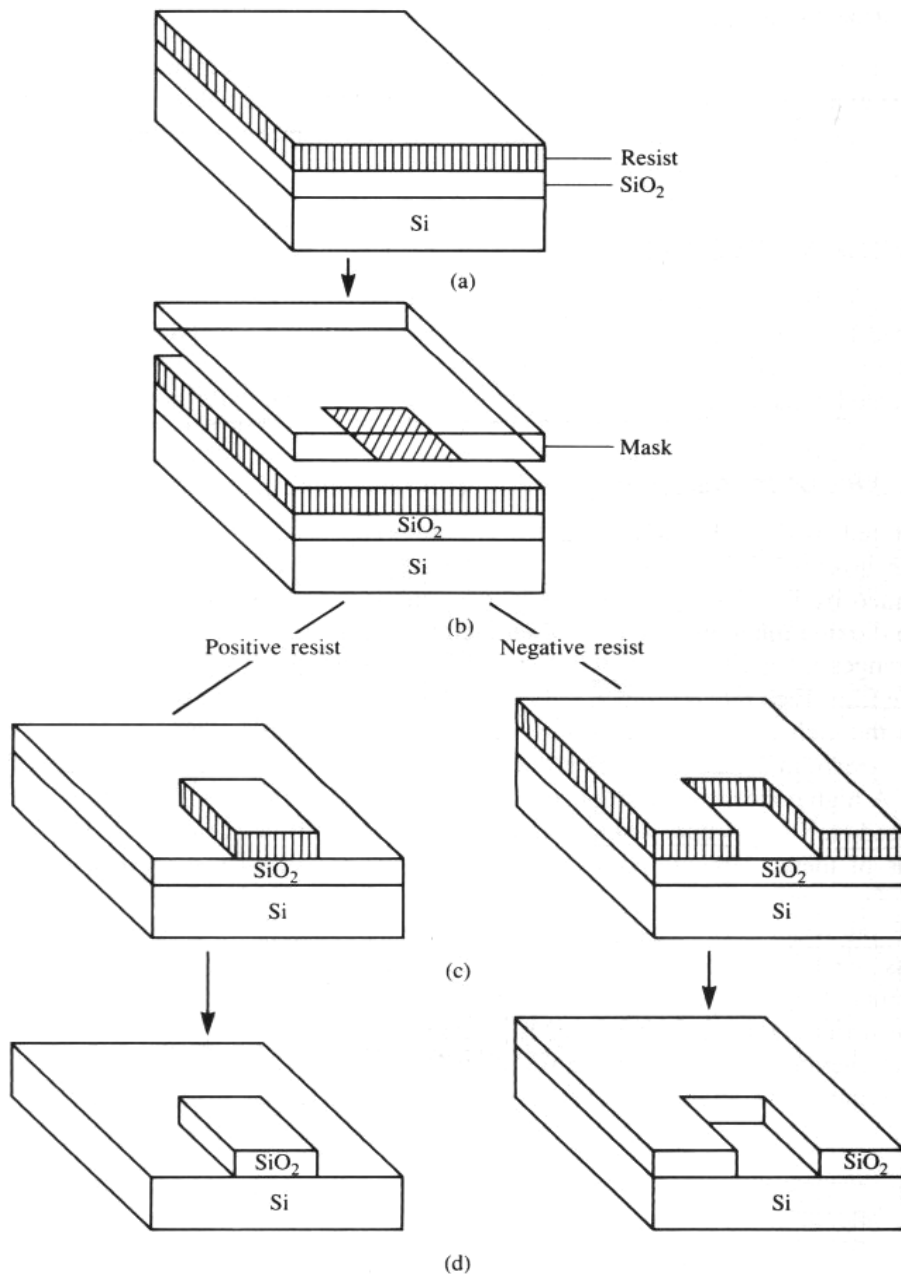
To eliminate reflection:

- a. antireflective coatings
- b. black chrome on mask and reticles
- c. dyes or absorbers added to resist
- d. specific optical thickness (avoid quarter-wavelength multiples of exposing energy)
- e. wavelength mixing
- f. reduce step height
- g. multiple layering of films (resist, oxide, others) to serve as diffusers.
- h. put dye in PR (eliminate long-range, geometry-dependent reflections)
- i. short exposure time and concentrated developer

## 7. Positive and negative PR.

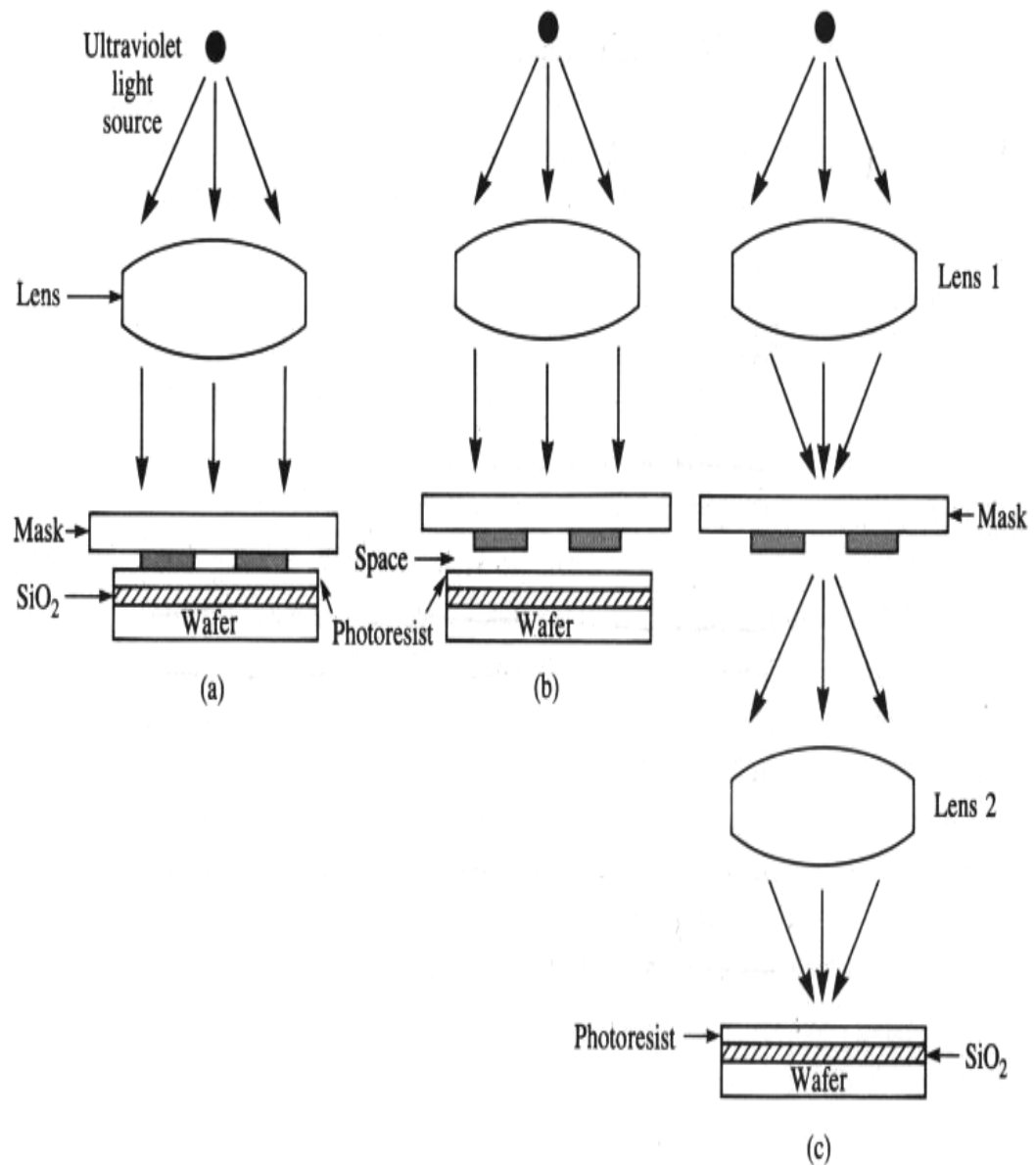
Positive resist: photoactive component (PAC), resins, and solvent

Negative resist: photosensitive cross-linking material and nonphotosensitive synthetic rubber compound (etch resistance, adhesion, film-forming property)



**Fig. 2.4** Resist and silicon dioxide patterns following photolithography with positive and negative resists.

## 8. Photomask printing methods:



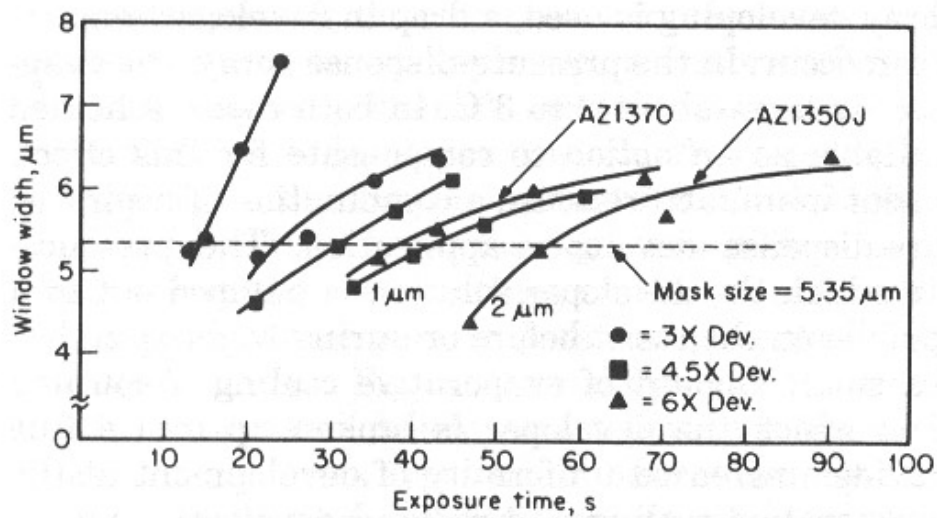
**Fig. 2.8** Artist's conception of various printing techniques. (a) Contact printing, in which wafer is in intimate contact with mask; (b) proximity printing, in which wafer and mask are in close proximity; (c) projection printing, in which light source is scanned across the mask and focused on the wafer. Copyright, 1983, Bell Telephone Laboratories, Incorporated. Reprinted by permission from ref. [2].

### ● Resist Development:

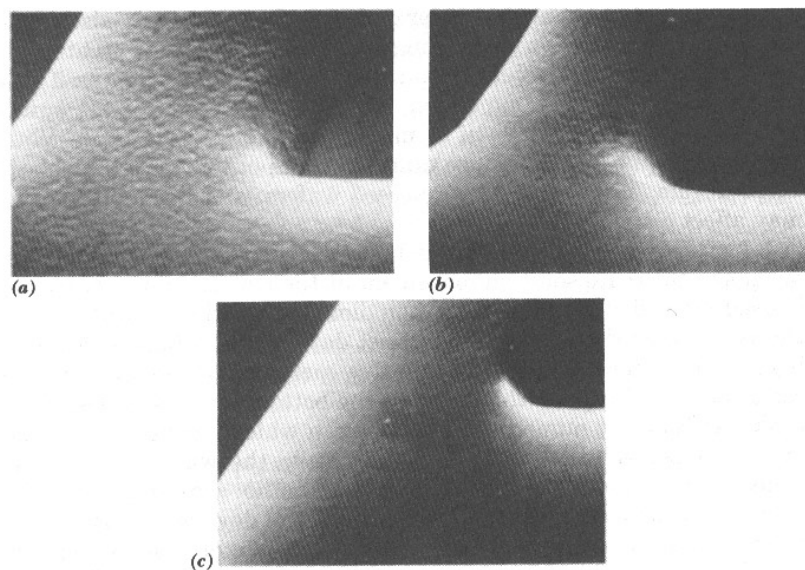
1. negative resist
2. positive resist
3. development approaches



- a. immersion
  - b. puddle
  - c. spray-puddle
  - d. spray
4. Developer Parameters
- a. time
  - b. temperature
  - c. concentration



**Figure 9.15** Exposure versus line width for three developer concentrations.<sup>4</sup>



**Figure 9.16** Developer concentration versus resist surface attack. (a) 3 $\times$  developer. (b) 4.5 $\times$  developer. (c) 6 $\times$  developer.<sup>4</sup>

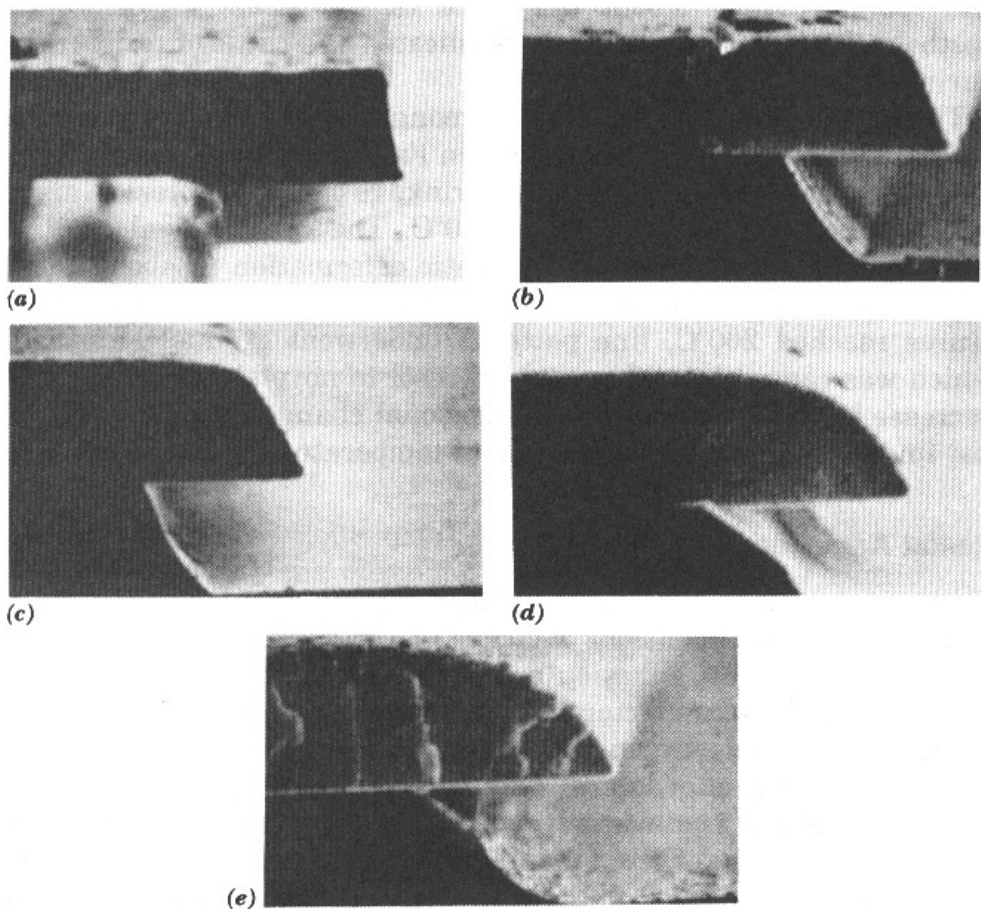
- d. agitation: movement of the resist-coated wafers,

bubbling gas through developer, spinning the wafer while dispensing a stream of developer, and spraying developer.

- **Hard Baking:**

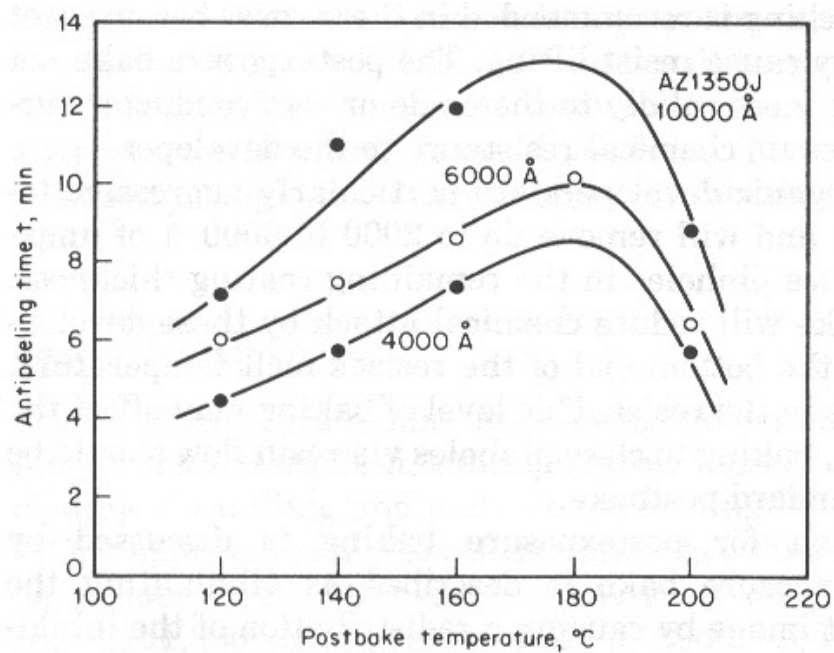
1. remove the final 3-4% solvent and harden the photoresist and improve adhesion to the substrate.
2. typically 5 min on hot plate or 20-30 min in oven at 120-180 C.
3. higher postbake temperatures mean more difficult removal.

Postbake vs. thermal flow



**Figure 10.1** Postbake temperature versus thermal flow (1350J): (a) 100°C; (b) 110°C; (c) 120°C; (d) 130°C; (e) 140°C.

Adhesion vs. temp.



**Figure 10.4** Antipeel time versus postbake temperature.<sup>2</sup>

**Reference:**

1. Integrated circuit fabrication technology, David J. Elliott, McGRAW-HILL international editions, 1989.
2. Introduction to Microelectronic Fabrication, Richard C. Jaeger, Addison-Wesley Publishing Company, Inc, 1998.